

TYBFM SEMSETER- V SUBJECT: EQUITY RESEARCH QUESTION BANK

1	<p>Major methods of data collection are?</p> <p>a. Questionnaires b. Interviews c. Secondary data d. All of the above</p>
2	<p>Which of the following is true concerning observation?</p> <p>a. It takes less time than self-report approaches b. It costs less money than self-report approaches c. It is often not possible to determine exactly <u>why</u> the people behave as they do d. All of the above</p>
3	<p>What is research?</p> <p>(A) Searching again and again (B) Finding solution to any problem (C) Working in a scientific way to search for truth of any problem (D) None of the above</p>
4	<p>Which of the following is not a major method of data collection?</p> <p>a. Questionnaires b. Focus groups c. Correlational method d. Secondary data</p>
5	<p>What is the purpose of equity research?</p> <p>Finding valuation of listed company To understand equity market To know the companies on stock exchange None of the above</p>
6	<p>What is research design?</p> <p>It is a blueprint of research process It is the way of collection of data One of the important step in formulation of in formulation of research problem All of the above</p>
7	<p>Action research means</p> <p>(A) A longitudinal research (B) An applied research (C) A research initiated to solve an immediate problem (D) A research with socioeconomic objective</p>
8	<p>Secondary/existing data may include which of the following?</p> <p>a. Official documents b. Personal documents c. Archived research data d. All of the above</p>
9	<p>Which of the following is the first step in starting the research process?</p>

	<p>(A) Searching sources of information to locate problem.</p> <p>(B) Survey of related literature</p> <p>(C) Identification of problem</p> <p>(D) Searching for solutions to the problem</p>
10	<p>The essential qualities of a researcher are</p> <p>(A) Spirit of free enquiry</p> <p>(B) Reliance on observation and evidence</p> <p>(C) Systematization or theorizing of knowledge</p> <p>(D) All the above</p>
11	<p>A research paper is a brief report of research work based on</p> <p>(A) Primary Data only</p> <p>(B) Secondary Data only</p> <p>(C) Both Primary and Secondary Data</p> <p>(D) None of the above</p>
12	<p>In the process of conducting research 'Formulation of Hypothesis" is followed by</p> <p>(A) Statement of Objectives</p> <p>(B) Analysis of Data</p> <p>(C) Selection of Research Tools</p> <p>(D) Collection of Data</p>
13	<p>A common test in research demands much priority on</p> <p>(A) Reliability</p> <p>(B) Validity</p> <p>(C) Objectivity</p> <p>(D) All of the above</p>
14	<p>Which of the following are the classification of stocks on the basis of ownership</p> <p>a) Common stocks</p> <p>b) Value stocks</p> <p>c) Large cap stocks</p> <p>d) Depositories</p>
15	<p>Who can be the authorized person who is appointed by a stock broker as an agent to reach out to the investors</p> <p>a) Individuals</p> <p>b) LLP</p> <p>c) Body corporate</p> <p>d) All of the above</p>
16	<p>Stock brokers are registered members at</p> <p>a) Company</p> <p>b) Stock exchange</p> <p>c) Bank</p> <p>d) None of the above</p>
17	<p>Following are the categories of consumer in an economy</p> <p>a) Individuals</p> <p>b) Government</p>

	<ul style="list-style-type: none"> c) Only a d) Both a and b
18	<p>The total output in the economy is computed as the sum of the output of various sectors is called as</p> <ul style="list-style-type: none"> a) Income method b) Expenditure method c) Product method d) All of the above
19	<p>A sub broker is not the member of stock exchange but can act on behalf of</p> <ul style="list-style-type: none"> a) Trading member b) Bank c) Company d) Only a
20	<p>Inflation can be caused by</p> <ul style="list-style-type: none"> a) Demand pull factor or cost push factors b) Government expenses c) saving habits of people d) only b
21	<p>What is FPI?</p> <ul style="list-style-type: none"> a) Foreign Part Investment b) Foreign Portfolio Investment c) Future Part Investment d) Foreign Private Income
22	<p>During slowdown in the economy unemployment rate</p> <ul style="list-style-type: none"> a) Decreases b) Rises c) Both and b d) Only a
23	<p>Fair price > current Market price indicates</p> <ul style="list-style-type: none"> a) The company shares are undervalued b) The company share is overvalued c) Only a and b d) None of the above
24	<p>Fair price < current market price indicates</p> <ul style="list-style-type: none"> a) The company shares are undervalued b) The company share is overvalued c) Only a and b d) None of the above
25	<p>General increase in the price of the goods and services in the economy is called as</p> <ul style="list-style-type: none"> a) Recession b) Inflation c) Depression d) Direct tax

26	<p>1. A single, overall cost of capital is often used to evaluate projects because:</p> <ul style="list-style-type: none"> a. It avoids the problem of computing the required rate of return for each investment proposal. b. It is the only way to measure a firm's required return. c. It acknowledges that most new investment projects have about the same degree of risk. d. It acknowledges that most new investment projects offer about the same expected return.
27	<p>The weighted average cost of capital for a firm is the:</p> <ul style="list-style-type: none"> a. Discount rate which the firm should apply to all of the projects it undertakes. b. Rate of return a firm must earn on its existing assets to maintain the current value of its stock. c. Coupon rate the firm should expect to pay on its next bond issue. d. Maximum rate which the firm should require on any projects it undertakes. e. Required rate which every project's internal rate of return must exceed
28	<p>How to calculate EPS?</p> <ul style="list-style-type: none"> a) Net earnings/ outstanding share b) Net earnings / MPS c) Gross earnings / Outstanding share d) outstanding share/ MPS
29	<p>Which of the following is concerned with how supply and demand interact in individual markets for goods and services</p> <ul style="list-style-type: none"> a) Micro economics b) Macro economics c) General economics d) Managerial economics
30	<p>Which of the following is concerned with how the overall economy works?</p> <ul style="list-style-type: none"> a) Micro economics b) Macro economics c) General economics d) Managerial economics
31	<p>Which of the following is the draft provides an elaborative account of the government revenues and expenditure.</p> <ul style="list-style-type: none"> a) Budget b) Supply c) Demand d) Government expenditure
32	<p>What is P in PESTLE analysis</p> <ul style="list-style-type: none"> a) Political b) Polite

	<ul style="list-style-type: none"> c) Public d) Participant
33	<p>What is O in SWOT analysis</p> <ul style="list-style-type: none"> a) Operating b) Opportunities c) Operate d) Organization
34	<p>Who has given the 5 force model</p> <ul style="list-style-type: none"> a) Michael Porter b) Joes S c) Max Reader d) Michael Max
35	<p>What is the stage of the BCG analysis in which the appearance of surviving firm from pioneering stage</p> <ul style="list-style-type: none"> a) STAR b) DOG c) Cash cow d) Loss
36	<p>Every business requires some form of</p> <ul style="list-style-type: none"> a) Investment b) Goods c) Service d) management
38	<p>What are the methods of technical analysis?</p> <ul style="list-style-type: none"> a) Graph b) Interview c) Observation d) Economic analysis
39	<p>Top down approach is used in which of the following analysis</p> <ul style="list-style-type: none"> a) Fundamental analysis b) Technical analysis c) Research d) Search
40	<p>Applied research is also called as?</p> <ul style="list-style-type: none"> a) Action research b) Fundamental research c) Pure research d) Quantitative research
41	<p>Which of the following is not a data-collection method?</p> <ul style="list-style-type: none"> a) Research questions b) Unstructured interviewing c) Postal survey questionnaires d) Participant observation
42	<p>Which of the following should have chart, high low close etc.</p>

	<ul style="list-style-type: none"> a) Technical analysis b) Line chart c) Candlestick d) Stock price
43	<p>What of the following is calculated by dividing share price by earning per share of the company</p> <ul style="list-style-type: none"> a) PE ratio b) MPS c) EPS d) Market share
44	<p>Beta measures depend highly on the:</p> <ul style="list-style-type: none"> a. direction of the market variance. b. overall cycle of the market. c. variance of the market and asset, but not their co-movement. d. covariance of the security with the market and how they are correlated.
45	<p>What of the following describe the direct and indirect competitors who are in similar business in the same market space</p> <ul style="list-style-type: none"> a) Competition analysis b) Business analysis c) Market analysis d) Product analysis
46	<p>Who appoints the chairman of SEBI?</p> <ul style="list-style-type: none"> (a) Central government (b) Stock exchanges (c) Brokers (d) Investors
47	<p>. SENSEX is the index of -----.</p> <ul style="list-style-type: none"> (a) Bombay stock exchange (b) National stock exchange (c) Cochin stock exchange (d) None of these
48	<p>Bulls and bears are -----.</p> <ul style="list-style-type: none"> (a) Ordinary investors (b) Government agencies c) Speculators (d) Money lenders
49	<p>Which of the following should have chart, high low close etc.</p> <ul style="list-style-type: none"> e) Technical analysis f) Line chart g) Candlestick h) Stock price
50	<p>Why is data analysis concerned with data reduction?</p> <ul style="list-style-type: none"> a) Because far too much data is collected than is required b) Because we need to make sense of the data

	<p>c) Because of the repetitions in answers to questionnaires</p> <p>d) Because the sample size has been exceeded</p>
51	<p>Fundamental research is known as</p> <p>a) Basic research</p> <p>b) Qualitative research</p> <p>c) Quantitative research</p> <p>d) Applied research</p>
52	<p>What does 'sampling cases' mean?</p> <p>a) Sampling using a sampling frame</p> <p>b) Identifying people who are suitable for research</p> <p>c) Literally, the researcher's brief-case</p> <p>d) Sampling people, newspapers, television programmes etc</p>
53	<p>What of the following is a measures of national income?</p> <p>a) GNP</p> <p>b) NNP</p> <p>c) Demand</p> <p>d) WPI</p>
54	<p>What of the following is measured both WPI and CPI?</p> <p>a) Inflation</p> <p>b) Recession</p> <p>c) Depression</p> <p>d) Growth</p>
55	<p>If the CAPM is used to estimate the cost of equity capital, the expected excess market return is equal to the:</p> <p>a. Return on the stock minus the risk-free rate.</p> <p>b. Difference between the return on the market and the risk-free rate.</p> <p>c. Beta times the market risk premium.</p> <p>d. Beta times the risk-free rate.</p> <p>e. Market rate of return.</p>
56	<p>The introductory section of a research report should aim to:</p> <p>a) Identify the specific focus of the study</p> <p>b) Provide a rationale for the dissertation, or article</p> <p>c) Grab the reader's attention</p> <p>d) All of the above</p>
57	<p>Cross cultural studies are an example of:</p> <p>a) Case study design</p> <p>b) Comparative design</p> <p>c) Experimental design</p> <p>d) Longitudinal design</p>
58	<p>What is the purpose of the conclusion in a research report?</p> <p>a) It explains how concepts were operationally defined and measured</p> <p>b) It contains a useful review of the relevant literature</p> <p>c) It outlines the methodological procedures that were employed</p> <p>d) It summarizes the key findings in relation to the research questions</p>

59	<p>Which of the following is not a type of qualitative interview?</p> <ul style="list-style-type: none"> a) Unstructured interview b) Oral history interview c) Structured interview d) Focus group interview
60	<p>Which of the following is a disadvantage of qualitative interviewing relative to participant observation?</p> <ul style="list-style-type: none"> a) It has a more specific focus b) It is more ethically dubious, in terms of obtaining informed consent c) It may not provide access to deviant or hidden activities d) It does not allow participants to reconstruct their life events
61	<p>The qualitative research strategy places a value on:</p> <ul style="list-style-type: none"> a) Using numbers, measurements and statistical b) Generating theories through inductive research about social meanings c) Conducting research that is of a very high quality d) All of the above
62	<p>Why is it helpful to prepare an interview guide before conducting semi-structured interviews?</p> <ul style="list-style-type: none"> a) So that the data from different interviewees will be comparable and relevant to your research questions b) So that you can calculate the statistical significance of the results c) In order to allow participants complete control over the topics they discuss d) To make the sample more representative
63	<p>What is the first stage of a systematic review?</p> <ul style="list-style-type: none"> a) Assess the relevance of each study to the research question(s) b) Define the purpose and scope of the review c) Appraise the quality of studies from the previous step d) Survey all of the literature contained within a single library
64	<p>The term "secondary analysis" refers to the technique of:</p> <ul style="list-style-type: none"> a) Conducting a study of seconds, minutes and other measures of time b) Analysing your own data in two different ways c) Analysing existing data that has been collected by another person or organization d) Working part time on a project alongside other responsibilities
65	<p>Which of the following is not a disadvantage of using secondary analysis?</p> <ul style="list-style-type: none"> a) The researcher's lack of familiarity with the data b) It is a relatively expensive and time consuming process c) Hierarchical datasets can be very confusing d) The researcher has no control over the quality of the data
66	<p>How can you tell if your research questions are really good?</p> <ul style="list-style-type: none"> a) If they guide your literature search b) If they are linked together to help you construct a coherent argument c) If they force you to narrow the scope of your research d) All of the above
67	<p>Which of the following should be included in a research proposal?</p> <ul style="list-style-type: none"> a) Your academic status and experience b) The difficulties you encountered with your previous reading on the topic c) Your choice of research methods and reasons for choosing them d) All of the above

68	<p>When planning to do social research, it is better to:</p> <p>a) Approach the topic with an open mind b) Do a pilot study before getting stuck into it c) Be familiar with the literature on the topic d) Forget about theory because this is a very practical undertaking can't have one without the other</p>
69	<p>The core ingredients of a dissertation are:</p> <p>a) Introduction; Data collection; Data analysis; Conclusions and recommendations. b) Executive summary; Literature review; Data gathered; Conclusions; Bibliography. c) Research plan; Research data; Analysis; References. d) Introduction; Literature review; Research methods; Results; Discussion; Conclusion.</p>
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76	<p>The objectives of SEBI include -----.</p> <p>(a) To protect interests of inventors (b) To regulate securities market (c) To promote the development of the market (d) All of the above</p>

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80	A deductive theory is one that: a) Allows theory to emerge out of the data b) Involves testing an explicitly defined hypothesis c) Allows for findings to feed back into the stock of knowledge d) Uses qualitative methods whenever possible
81	
82	If the project beta and IRR coordinates plot above the SML the project should be: a. accepted. b. rejected. c. It is impossible to tell. d. It will depend on the NPV.
83	We review the relevant literature to know: a) What is already known about the topic b) What concepts and theories have been applied to the topic c) Who are the key contributors to the topic d) All of the above
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88	<p>During slowdown in the economy unemployment rate</p> <ul style="list-style-type: none"> e) Decreases f) Rises g) Both and b h) Only a
89	<p>1. SEBI was established in the year-----.</p> <ul style="list-style-type: none"> (a) 1992 (b) 1988 (c) 1990 (d) 1989
90	<p>SEBI Act was passed in -----.</p> <ul style="list-style-type: none"> (a) 1988 (b) 1990 (c) 1991 (d) 1992
91	<p>The slope of the characteristic line is the estimated:</p> <ul style="list-style-type: none"> a. intercept. b. beta. c. unsystematic risk. d. market variance.
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95	<p>What is research design?</p> <ul style="list-style-type: none"> a) It is a blueprint of research process b) It is the way of collection of data c) One of the important step in formulation of in formulation of research problem d) All of the above
96	Action research means

	<p>(A) A longitudinal research</p> <p>(B) An applied research</p> <p>(C) A research initiated to solve an immediate problem</p> <p>(D) A research with socioeconomic objective</p>
97	<p>The regulatory body for the securities market in India is -----.</p> <p>(a) RBI</p> <p>(b) SEBI</p> <p>(c) IRDA</p> <p>(d) Stock exchanges</p>
98	<p>Which of the following is the financial statement which contains the information about the company's asset and liability</p> <p>a) Balancesheet</p> <p>b) Cash flow statement</p> <p>c) Trend analysis</p>
99	<p>What of the following is calculated by dividing share price by earning per share of the company</p> <p>e) PE ratio</p> <p>f) MPS</p> <p>g) EPS</p> <p>h) Market share</p>
100	<p>Beta measures depend highly on the:</p> <p>a. direction of the market variance.</p> <p>b. overall cycle of the market.</p> <p>c. variance of the market and asset, but not their co-movement.</p> <p>d. covariance of the security with the market and how they are correlated.</p>